CRIT-27,301 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

In re application of: Nelson Waldo Bunker V, David Laizerovich, Eva Elizabeth Bunker and

Joey Don Van Schuyver

Serial No.: 10/043,654

Confirmation No.: 7438

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Group: 2134

Examiner: Tongoc Tran

For: NETWORK SECURITY TESTING

Mail Stop Appeal Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

BRIEF ON APPEAL

This Brief is submitted in connection with an appeal from the final rejection of the Examiner, dated July 11, 2006, finally rejecting claims 1, 2, 4, 6-8, 10-14, 16, 18-21, 23, 25, 26, 28, 30-35, 58, 62-64, 68-70, 74-76, 80-84, 86-90 and 103-105, all of the pending claims in this application.

REAL PARTY IN INTEREST

The real party in interest is ACHILLES GUARD, INC. d/b/a CRITICAL WATCH., a United States Company having a principal office and place of business at 6060 N. Central Expressway, Suite 560, Dallas, Texas 75206.

RELATED APPEALS AND INTERFERENCES

There are no related appeals and no related interferences regarding the above-identified

patent application.

STATUS OF CLAIMS

Claims 1, 2, 4, 6-8, 10-14, 16, 18-21, 23, 25, 26, 28, 33-35, 58, 62-64, 68-70, 74-76, 80-

84, 86-90 and 103-105 are pending, stand finally rejected, and are on appeal here. Claims 1, 2,

4, 6-8, 10-14, 16, 18-21, 23, 25, 26, 28, 33-35, 58, 62-64, 68-70, 74-76, 80-84, 86-90 and 103-

105 are set forth in the CLAIMS APPENDIX attached hereto.

STATUS OF AMENDMENTS

No amendments were entered in response to the Final Office Action dated July 11, 2006.

The claims attached in the Appendix of this Appeal Brief are Claims 1, 2, 4, 6-8, 10-14, 16, 18-

21, 23, 25, 26, 28, 33-35, 58, 62-64, 68-70, 74-76, 80-84, 86-90 and 103-105 as presently

pending.

SUMMARY OF INVENTION

The invention is directed to a network security testing apparatus that comprises a tester

for testing the network security vulnerabilities of a network system that is under test. The first

tester is communicably coupled to the network system under test and is adapted to sequentially

form a plurality of sequential tests on the system under test to obtain network security

vulnerability information. Each of the plurality of sequential tests are adapted to return network

security vulnerability information regarding the network system under test to the tester. The

network security vulnerability information provided by the plurality of sequential tests are each

more specific to the network under test than the network security vulnerability information

provided by a previous test. Each of the plurality of sequential tests are more specifically

configured to adapt to the security obstacles of the network system under test based on

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information gained from the previous test and obtain additional network security information

from the network system under test.

ISSUES

Are Claims 1, 2, 4-6, 10-14, 16, 18-21, 23, 25, 26, 28 and 33-35 novel over the *Gleichauf*

reference? Are Claims 58, 62-64, 68-70 and 74-75 of the Applicant's invention rendered

obvious by the combined teachings of Gleichauf and the Li, et al references, are Claims 80-84,

86 and 87 rendered obvious by the combined teachings of *Gleichauf* in view of *Polk*, are Claims

88-90 rendered obvious by the combined teachings of *Gleichauf* and *Srinivasan*, and are Claims

103-105 rendered obvious by Gleichauf in view of Gleichauf '668?

GROUPING OF CLAIMS

It is believed that Claims 1, 2, 4-6, 10-14, 16, 18-21, 23, 25, 26, 28, 33-35, 58, 62-64, 68-

70 and 74-75 stand or fall together as Group 1, Claims 76, 80-84, 86 and 87 stand or fall together

as Group 2, Claims 88-90 stand or fall together as Group 3, and Claims 103-105 stand or fall

together as Group 4.

ARGUMENT

The Claims present in this application stand rejected under both 35 U.S.C. § 102 and 35

U.S.C. § 103(a). Accordingly, the issues remaining in this Appeal are the novelty of Applicants'

invention over the Gleichauf reference and the obviousness of Applicants' invention over the

Gleichauf reference in combination with various prior art. Applicants respectfully submit that

the invention, as claimed, would be novel over the Gleichauf reference and that the invention, as

claimed, would not be obvious to one of ordinary skill in the art based upon a fair reading of the

references cited. Furthermore, as will be set forth below, it is unclear how one of ordinary skill

in the art would have been motivated to combine the references in the manner set forth in the

final rejection, or what, if any, motivation has been shown to exist for one of ordinary skill in the

art to combine the references.

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Claim 1 recites the limitations of (emphasis added):

wherein each of the plurality of sequential tests are adapted to return the

network security vulnerability information regarding the network system under test, the network security vulnerability information provided by <u>each</u> of the plurality of sequential tests being more specific to the network under test than the

network security vulnerability information provided by a previous test;

wherein each of the plurality of sequential tests are more specifically

configured to adapt to the security obstacles of the network system under tests detected based on information gained from the previous tests and obtain

additional network security vulnerability information from the network system

under test.

The recitations from the Gleichauf reference do not describe a network security testing

apparatus operating in this fashion. Gleichauf describes a system wherein a first group of tests

are run to assess the system and obtain vulnerability information. These tests within the group of

tests do not progressively improve with each test to adapt to the security obstacles of the

network, but instead are run with no consideration given to the results of previously received test

results within the group of tests. After the initial vulnerability assessment phase is completed, an

active exploit phase is performed wherein vulnerabilities detected by the first group of tests are

exploited by the system described in Gleichauf. This is significantly different from the

limitations described with respect to Applicants' Claim 1. In Applicants' Claim 1, each of the

plurality of sequential tests are more specifically configured to adapt to the security obstacles of

the network system under test based upon the results of a previous test. A first group of tests

does not have to first be completed. Gleichauf describes a second group of tests based upon the

result of a first group of tests, but does not describe each test adapted to security obstacles based

upon a previous test, only a second group based upon a first group.

Thus, Applicants' Claim 1 describes a system that improves its testing process each time

new test results are received. Gleichauf does not improve its testing methodology in this

manner. Gleichauf describes a system that obtains a number of system vulnerabilities based

upon a first group of tests, and then using these detected vulnerabilities, runs a next group of

tests to exploit the detected vulnerabilities. The system is not progressively improving as each

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set of test results are received by the system. Furthermore, the network security vulnerability

information provided by each of the plurality of tests is more specific to the network system

under test than the network security vulnerability information provided by a previous test. Thus,

the information provided by the tests run in Applicants' Claim 1 becomes progressively more

specific to the network under test with each successive test. This type of successive

improvement of the information obtained from each test result is not described by the Gleichauf

reference. For these reasons, Claim 1, and all claims dependent therefrom, are allowable over

the recited art.

Claims 13, 25, 58, 64 and 70 are independent claims including limitations similar to those

described with respect to Claim 1. Applicants respectfully submit that Claims 13, 25 58, 64, and

70, and all claims dependent therefrom, are allowable over the recited reference for similar

reasons to those described with respect to Claim 1.

Claims 76, 80-84, 86 and 87 were rejected under 35 U.S.C. § 103(a) as being

unpatentable over Gleichauf, et al in view of Polk. Claim 76 describes the operation of the

Applicants' system within a network security vulnerability testing scheme. The Polk reference

describes the classification of tests as passive or active, and the transformation of an active test

into a network worm does not illustrate the limitations described in Applicants' Claim 76, 80,

and 84. The Final Office Action dated July 11, 2006, admits that *Gleichauf* does not explicitly

disclose wherein said first tester is adapted to make a second attempt to communicably couple to

the system under test after the test; and wherein the combination of success of the first attempt

and the failure of the second attempt are interpreted as the detection of the test by the system

under test. The official action of July 11, 2006, describes the *Polk* reference as disclosing active

and passive tests and the transformation of active tests into a Trojan horse or network worm.

However, it does not seem that the disclosure in Polk of passive and active tests and the

transformation of active tests into a Trojan horse or network worm comprise a disclosure of a

first tester adapted to make a second attempt to communicably couple to the system under test

after a first test wherein the combination of the success of the first test and the failure of the

second test being interpreted as detection of the tests by the system under test. The recognition

of passive and active tests and the conversion of active tests into a worm do not describe these

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limitations. Thus, we believe that Claims 76, 80, and 84 are allowable over the recited Gleichauf

and Polk references.

Claims 88-90 were rejected under 35 U.S.C. § 103(a) as being unpatentable over

Gleichauf in view of Srinivasan. Claim 88 includes the limitation of:

a test tool within the tester for performing a test to obtain specific network

security vulnerability information for the network system under test, said test tools selectable responsive to adapt to the security obstacles of the network

system under test detected based on information gained from a previously

received information on network security vulnerability information;

The Gleichauf reference, as described previously with respect to Claim 1, does not

describe a selection of test tools to adapt to the security obstacles of the network system under

test based upon information gained from previously received information on network security

vulnerability information. The Gleichauf reference describes the performance of a first phase of

tests that detect vulnerabilities and then the performance of a second phase of tests that exploit

these vulnerabilities. The selection of a test tool responsive to a detected security obstacle is not

described by the recited references. Therefore, Applicants respectfully submit that Claim 88 is

distinguishable from the combination of the Gleichauf and Srinivasan references. Claims 89 to

90 are allowable for similar reasons.

Claims 103-105 are rejected under 35 U.S.C. § 103(a) as being unpatentable over

Gleichauf in view of Gleichauf '668. Claim 103 includes the limitation of a plurality of testers

"wherein each tester of said plurality of testers has at least one quality of communicable coupling

to the system under test, be at least one quality of communicable coupling including costs per bit,

absolute speed, and geographical proximity of the selected tester to the system under test." Each

of these limitations of costs per bit, absolute speed and geographical proximity are not described

within either of the *Gleichauf* references. Furthermore, the official action of July 11, 2006, does

not discuss all of these limitations. Claim 104 and 105 include similar limitations. Therefore,

the Applicants respectfully submit that Claims 103 through 105 are allowable over the recited art

since the prior art fails to show each limitation of the claims.

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MPEP §2142 specifies that:

The examiner bears the initial burden of factually supporting any

prima facie conclusion of obviousness. If the examiner does not

produce a prima facie case, the applicant is under no obligation to

submit evidence of nonobviousness.

In regard to what an examiner must show in order to establish a prima facie case of

obviousness, MPEP §2142 further explains that:

To establish a prima facie case of obviousness, three basic criteria

must be met. First, there must be some suggestion or motivation,

either in the references themselves or in the knowledge generally

available to one of ordinary skill in the art, to modify the reference

or to combine reference teachings. . . . Finally, the prior art

reference (or references when combined) must teach or suggest all

the claim limitations.

In regard to what an examiner must do in order to meet the first criterion for a prima facie

rejection, MPEP §2143.01 specifies that:

Obviousness can only be established by combining or modifying

the teachings of the prior art to produce the claimed invention

where there is some teaching, suggestion, or motivation to do so

found either explicitly or implicitly in the references themselves or

in the knowledge generally available to one of ordinary skill in the

art.

In the present situation, as explained in more detail below, the various combinations of

references proposed by the Examiner are not supported by a proper suggestion or motivation to

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make each proposed modification. This means that the first criterion for a prima facie rejection

has not been met, which in turn means the Examiner has failed to carry the burden of

establishing a prima facie rejection. In addition, certain claim limitations are not taught or

suggested by the cited combinations, which means that the third criterion for a prima facie

rejection has not been met and that the Examiner has failed to carry the burden of establishing a

prima facie rejection for this independent reason.

As stated by the Federal Circuit in Cardiac Pacemakers, Inc. v. Guidant Sales Corp., 381

F.3d 1371, 1376 (Fed. Cir. 2004), "[w]hen prior art references require selective combination by

the court to render obvious a subsequent invention, there must be some reason for the

combination other than the hindsight gleaned from the invention itself." Moreover, the Federal

Circuit has recently stated that "[a]s this court outlined in Ruiz v. A.B. Chance Co., 357 F.3d

1270, 1275 (Fed. Cir. 2004), in making the assessment of differences between the prior art and

the claimed subject matter, section 103 specifically requires consideration of the claimed

invention 'as a whole.' Inventions typically are new combinations of existing principles or

features.... The "as a whole" instruction in title 35 prevents evaluation of the invention part by

part. Ruiz, 357 F.3d at 1275. Without this important requirement, an obviousness assessment

might successfully break an invention into its component parts, then find a prior art reference

corresponding to each component. Id. This line of reasoning would import hindsight into the

obviousness determination by using the invention as a roadmap to find its prior art components.

Further, this improper method would discount the value of combining various existing features

or principles in a new way to achieve a new result-often the essence of invention. Id." Princeton

Biochemicals, Inc. v. Beckman Coulter, Inc., 411 F.3d 1332, 1337 (Fed. Cir. 2005).

Applicant submits that the official action has taken the approach specifically forbidden by

the Federal Circuit in *Princeton Biochemicals* and has simply broken Applicant's invention into

its component parts, and then attempted to find a prior art reference corresponding to each

component. As such, Applicant submits that support for the combination is based on hindsight

and the combination is therefore improper.

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The official action with respect to Claim 58, 62, 64, 68, 70 and 74 recited "Gleichauf

does not teach the plurality of testers has a load balance characteristic describing a degree of

balance of loads of testers wherein the selected tester is selected from a plurality of testers based

at least partially on optimizing the load balance characteristics. However, Li, et al teaches using

distributing parallel processing on heterogeneous networks of workstations as effective load

sharing of works resource (Li, third paragraph, page 1). It would have been obvious to one of

ordinary skill in the art at the time the invention was made to implement Gleichauf's teaching of

accessing network vulnerability with Li's teaching of implementing parallel processing to

balance network workloads for sharing CPU and memory resources."

The official action dated July 11, 2006, has provided no indication of a suggestion within

either the Gleichauf or Li references for combining those references in the manner suggested. It

merely states that the teachings would be combined to balance the network loads for sharing

CPU and memory resources. However, there is no discussion that the Gleichauf reference

suggests a need for balancing network loads within its network vulnerability testing operations

nor does it suggest that there may be a need for the sharing of CPU and memory resources.

Furthermore, the Li reference while describing load sharing of resources does not provide any

suggestion that this type of implementation may be effective in network vulnerability testing.

Therefore, Applicants' submit that the official action has taken the approach specifically

forbidden by the Federal Circuit in Princeton Biochemicals and has simply broken Applicants'

invention into its component parts, and then attempted to find prior art references corresponding

to each component. As such, Applicants submit that support for the combination is based on

hindsight and the combination is therefore improper.

Furthermore, with respect to Claims 76, 80-84, 86 and 87, the official action dated

July 11, 2006, recites "Gleichauf does not explicitly disclose wherein said first tester is adapted

to make a second attempt to communicably couple to the system under test after the test; and

wherein the combination of the success of the first attempt and failure of second attempt are

interpreted as detection of the tests by the system under test. However, Polk discloses tests for

system vulnerability may mimic an attacker or simply browse through the system in a more

typical auditing fashion. . .therefore it would have been obvious to one of ordinary skill in the art

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at the time the invention was made to incorporate the teaching of Gleichauf's tester placed

outside of the internal network for a better view of the system with Polk's teaching of

customized protective testing measure to ensure the system may not transform into a network

work after the active testing is completed."

Applicants respectfully submit that the recited reasons for combination are not suggested

within either of the references. Nothing in Gleichauf suggests that placing a tester outside of the

network provides a better view of the system that would ensure the ability to prevent active tests

from transforming into a network worm. While the Gleichauf reference describes a system

capable of detecting network vulnerabilities, nothing in Gleichauf suggests that it is useful for

detecting or preventing the transformation of active tests into network worms. Therefore,

Applicants respectfully submit that in addition to failing to describe the disclosed limitations of

Applicants' claims as described previously hereinabove, the official action has attempted to

merely break Applicants' invention into its component parts and recite prior art references

corresponding to these parts without providing sufficient support for the combination of the

references other than hindsight based upon the Applicants' disclosure.

Respectfully submitted, HOWISON & ARNOTT, L.L.P.

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CLAIMS APPENDIX

1. (Currently Amended) A network security testing apparatus comprising:

a first tester for testing for network security vulnerabilities of a network system

under test that is adapted to communicably couple to a the network system under test, said first

tester adapted to sequentially perform a plurality of sequential tests on the system under test to

obtain network security vulnerability information;

wherein each of the plurality of sequential tests are adapted to return the network

security vulnerability information regarding the network system under test, the network security

vulnerability information provided by each of the plurality of sequential tests being more specific

to the network system under test than the network security vulnerability information provided by

a previous test;

wherein each of the plurality of sequential tests are more specifically configured

to adapt to the security obstacles of the network system under test detected based on information

gained from the previous test and obtain additional network security vulnerability information

from the network system under test.

2. (Currently Amended) The network security testing apparatus of claim 1, wherein

each of the plurality of sequential tests are more specifically configured to adapt to system

configuration of the network system under test based on the information gained from the

previous test and obtain the additional network security vulnerability information from the

network system under test.

3. (Canceled)

4. (Currently Amended) The network security testing apparatus of claim 3 1,

wherein the network security vulnerability information includes information regarding network

connectivity from the first tester to the network system under test.

5. (Canceled)

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6. (Currently Amended) The network security testing apparatus of claim1, wherein

the network security vulnerability information includes connection information relating to an IP

address used in the previous test.

7. (Currently Amended) The network security testing apparatus of claim 3 1, further

comprising:

a second tester that is adapted to communicably couple to the network system

under test;

wherein the previous test is executed by said first tester;

wherein determination of whether a subsequent test is executed by said first tester

or by said second tester is made based at least partially upon the network security vulnerability

information obtained by the previous test in order to adapt to the security obstacles of the

network under test.

8. (Currently Amended) The network security testing apparatus of claim 7, wherein

the subsequent test includes execution of a test tool selected from a plurality of test tools based at

least partially upon the network security vulnerability information obtained by the previous test.

9. (Canceled)

10. (Previously Presented) The network security testing apparatus of Claim 1,

wherein the plurality of tests continue until all relevant information about the system under test

has been collected.

11. (Previously Presented) The network security testing apparatus of claim 7,

wherein the subsequent test includes execution of a test tool selected from a plurality of test tools

based at least partially upon the system environment information.

12. (Canceled)

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13. (Currently Amended) A network security testing method comprising:

a) executing a first test by a first tester to test for network security vulnerabilities

of a network system under test, wherein the first test is targeted at a network system under test,

and wherein the first tester is communicably coupled to the network system under test;

b) receiving first information from the first test about the system under test, after

executing the first test, the first information comprising network security vulnerability

information;

c) executing a second test to test for the network vulnerabilities of the network

system under test after said receiving first information, wherein the second test is more

specifically configured to adapt to the security obstacles of the network system under test

detected based on information gained from the first test and obtain second information from the

network system under test based on the first information, the second information comprising

additional network security vulnerability information more specific to the network system under

test than the first information;

d) receiving the second information from the second test about the network

system under test, after executing the second test;

e) repeating steps a)-d) a plurality of times until relevant information about the

system under test has been collected; and

f) wherein the network security vulnerability information obtained from each

subsequent test is more specific to the system under test based on the network security

vulnerability information provided by each previous test.

14. (Original) The network security testing method of claim 13, wherein the time

period between said executing the first test and said executing the second test can be negligible.

15. (Canceled)

16. (Currently Amended) The network security testing method of claim 13, wherein

said network security vulnerability information comprises information regarding network

connectivity from the first tester to the network system under test.

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17. (Canceled)

18 (Previously Presented) The network security testing method of claim 17, wherein

said receiving network security vulnerability information comprises receiving connection

information relating to an IP address used in said executing the first test.

19. (Currently Amended) The network security testing method of claim 13, further

comprising determining whether the second test will be executed by the first tester or by a

second tester based upon the network security vulnerability information from the first test, before

said executing the second test.

20. (Currently Amended) The network security testing method of claim 13, further

comprising selecting the second test from a plurality of tests based at least partially upon the

network security vulnerability information.

21. (Currently Amended) The network security testing method of claim 13, further

comprising:

determining whether all possible network security vulnerability information

regarding the system under test has been received in light of the plurality of tests; and

executing additional tests until all possible network security vulnerability

information regarding the system under test has been received in light of the plurality of tests.

22. (Canceled)

23. (Currently Amended) The network security testing method of claim 19, further

comprising selecting the second test from a plurality of tests based at least partially upon the

network security vulnerability information.

24. (Canceled)

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25. (Currently Amended) A computer program product for network security testing

stored in a computer-readable medium, comprising:

a) instructions for executing a first test by a first tester to test for network security

vulnerabilities of a network system under test, wherein the first test is targeted at a network

system under test, and wherein the first tester is communicably coupled to the network system

under test;

b) instructions for receiving first information from the first test about the system

under test, after executing the first test, the first information comprising network security

vulnerability information;

c) instructions for executing a second test to test for the network security

vulnerabilities of the network system under test after said receiving first information, wherein the

second test is more specifically configured to adapt to the security obstacles of the network

system under test detected based on information gained from the first test and obtain second

information from to the network system under test based on the first information, the second

information comprising additional network security vulnerability information more specific to

the network system under test than the first information;

d) instructions for receiving the second information from the second test about

the network system under test, after executing the second test;

e) instructions for repeating steps a)-d) a plurality of times until all relevant

information about the system under test has been collected; and

f) instructions for wherein the network security vulnerability information obtained

from each subsequent test is more specific to the system under test based on the network security

vulnerability information provided by each previous test.

26. (Original) The computer program product of claim 25, wherein the time period

between executing the first test and executing the second test can be negligible.

27. (Canceled)

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28. (Currently Amended) The computer program product of claim 25, wherein said

network security vulnerability information comprises information regarding network

connectivity from the first tester to the network system under test.

29. (Canceled)

30. (Currently Amended) The computer program product of claim 25, wherein

receiving network security vulnerability information comprises receiving session establishability

information relating to an IP address used in executing the first test.

31. (Currently Amended) The computer program product of claim 25, further

comprising instructions for determining whether the second test will be executed by the first

tester or by a second tester based upon the network security vulnerability information from the

first test, before said executing the second test.

32. (Currently Amended) The computer program product of claim 25, further

comprising instructions for selecting the second test from a plurality of tests based at least

partially upon the network security vulnerability information.

33. (Currently Amended) The computer program product of claim 25, further

comprising:

instructions for determining whether all possible network security vulnerability

information regarding the system under test has been received in light of the plurality of tests;

and

instructions for executing additional tests until all possible network security

vulnerability information regarding the system under test has been received in light of the

plurality of tests.

34. (Canceled)

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partially upon the network security vulnerability information. 36. (Canceled) 37. (Canceled) (Canceled) 38. 39. (Canceled) 40. (Canceled) 41. (Canceled) 42. (Canceled) 43. (Canceled) 44. (Canceled) 45. (Canceled) (Canceled) 46. 47. (Canceled) 48. (Canceled)

(Currently Amended) The computer program product of claim 31, further

comprising instructions for selecting the second test from a plurality of tests based at least

35.

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(Canceled)

58. (Currently Amended) A network security testing apparatus comprising:

a plurality of testers for testing for network security vulnerabilities of a network system under test to obtain network security vulnerability information;

wherein each of said plurality of testers is adapted to communicably couple to a network system under test;

wherein a test of the network system under test is performed by a selected tester of said plurality of testers, said selection of said selected tester to adapt to detected security obstacles of the network system under test based on information gained from a previous test to obtain more specific network security vulnerability information from the network system under test;

wherein said plurality of testers has a load balance characteristic describing a degree of balance of loads of testers of said plurality of testers; and

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wherein the selected tester is selected from said plurality of testers based additionally on optimizing the load balance characteristic.

- 59. (Canceled)
- 60. (Canceled)
- 61. (Canceled)
- 62. (Original) The network security testing apparatus of claim 58,

wherein each tester of said plurality of testers has at least one quality of communicable coupling to the system under test; and

wherein the selected tester is selected from said plurality of testers based at least partially on the selected tester's quality of communicable coupling.

63. (Original) The network security testing apparatus of claim 62, wherein the quality of communicable coupling includes:

cost per bit;

absolute speed; and

geographical proximity of the selected tester to the system under test.

64. (Currently Amended) A network security testing method comprising:

selecting a selected tester from a plurality of testers for testing for network security vulnerabilities of a network system under test to obtain network security vulnerability information, said selection of said selected tester to adapt to security obstacles of the network system under test detected based on information gained from a previous test to obtain more specific network security vulnerability information from network system under test;

executing a test by the selected tester, wherein the test is targeted at a the network system under test, and wherein the selected tester is communicably coupled to the network system under test;

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wherein the plurality of testers has a load balance characteristic describing a

degree of balance of loads of testers of the plurality of testers; and

wherein said selecting a selected tester from a plurality of testers is further based

at least partially on optimizing the load balance characteristic.

65. (Canceled)

66. (Canceled)

67. (Canceled)

68. (Currently Amended) The network security testing method of claim 64,

wherein each tester of the plurality of testers has at least one quality of

communicable coupling to the network system under test; and

wherein said selecting a selected tester from a plurality of testers is further based

at least partially on the selected tester's quality of communicable coupling.

69. (Previously Presented) The network security testing method of claim 68, wherein

the quality of communicable coupling includes:

cost per bit;

absolute speed; and

geographical proximity of the selected tester to the system under test.

70. (Currently Amended) A computer program product for network security testing

stored in a computer-readable medium, comprising:

instructions for selecting a selected tester from a plurality of testers for testing for

network security vulnerabilities of a network system under test to obtain network security

vulnerability information, said selection of said selected tester to adapt to security obstacles of

the network system under test detected based on information gained from a previous test to

obtain more specific network security vulnerability information from network system under test;

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instructions for executing a test by the selected tester, wherein the test is targeted

at a system tinder test, and wherein the selected tester is communicably coupled to the network

system under test;

wherein the plurality of testers has a load balance characteristic describing a

degree of balance of loads of testers of the plurality of testers; and

wherein the selecting a selected tester from a plurality of testers is further based at

least partially on optimizing the load balance characteristic.

71. (Canceled)

72. (Canceled)

73. (Canceled)

74. (Previously Presented) The computer program product of claim 70,

wherein each tester of the plurality of testers has at least one quality of

communicable coupling to the system under test; and

wherein the selecting a selected tester from a plurality of testers is further based at

least partially on the selected tester's quality of communicable coupling.

75. (Previously Presented) The computer program product of claim 74, wherein the

quality of communicable coupling includes:

cost per bit;

absolute speed; and

geographical proximity of the selected tester to the system under test.

76. (Currently Amended) A network security testing apparatus comprising:

a first tester that is adapted to communicably couple to a network system under

test to perform network security vulnerability testing, wherein said first tester is adapted to

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perform a test on the network system under test to obtain network security vulnerability

information on the network system under test;

wherein said first tester is adapted to make a first attempt to communicably

couple to the network system under test before executing the test to obtain network security

vulnerability information;

wherein said first tester is adapted to make a second attempt to communicably

couple to the system under test after executing the test to obtain network security vulnerability

information; and

wherein the combination of success of the first attempt and failure of the second

attempt are interpreted as detection of the test by the network system under test.

77. (Canceled)

78. (Canceled)

79. (Canceled)

80. (Currently Amended) A network security testing method comprising:

attempting a first communicable coupling by a first tester for performing network

security vulnerability testing to a network system under test;

executing a test to obtain network security vulnerability information by the first

tester, wherein the test is targeted at the network system under test;

attempting a second communicable coupling by the first tester to the network

system under test after executing the test to obtain network security vulnerability information;

and

interpreting the combination success of the first communicable coupling and

failure of the second communicable coupling as detection of the test by the network system

under test.

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81. (Original) The network security testing method of claim 80, further comprising

receiving security obstacle information of the system under test, responsively to said executing

the test.

82. (Original) The network security testing method of claim 80, further comprising:

attempting a third communicable coupling to the system under test;

wherein said attempting a first communicable coupling is made using a first

originating IP address;

wherein said attempting a second communicable coupling is made using a second

originating IP address that is essentially the same as the first originating IP address;

wherein said attempting a third communicable coupling is made using a third

originating IP address that is different from the second originating IP address;

wherein the combination of success of said attempting a first communicable

coupling, failure of said attempting a second communicable coupling, and success of said

attempting a third communicable coupling is interpreted as a possibility including the detection;

and

wherein the combination of success of said attempting a first communicable

coupling, failure of said attempting a second communicable coupling, and failure of said

attempting a third communicable coupling is interpreted as a possibility including:

a network connectivity problem between the first tester and the system under test;

and

the detection.

83. (Original) The network security testing method of claim 80, further comprising:

attempting a third communicable coupling by a second tester to the system under

test;

wherein the combination of success of said attempting a first communicable

coupling, failure of said attempting a second communicable coupling, and success of said

attempting a third communicable coupling is interpreted as a possibility including the detection;

and

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wherein the combination of success of said attempting a first communicable

coupling, failure of said attempting a second communicable coupling, and failure of said

attempting a third communicable coupling is interpreted as a possibility including a network

connectivity problem between the first tester and the system under test.

84. (Currently Amended) A computer program product for network security testing

stored in a computer-readable medium, comprising:

instructions for attempting a first communicable coupling by a first tester for

performing network security vulnerability testing to a network system under test;

instructions for executing a test to obtain network security vulnerability

information by the first tester, wherein the test is targeted at the network system under test;

instructions for attempting a second communicable coupling by the first tester to

the network system under test after executing the test to obtain network security vulnerability

information; and

instructions for interpreting the combination success of the first communicable

coupling and failure of the second communicable coupling as detection of the test by the network

system under test.

85. (Canceled)

86. (Original) The computer program product of claim 84, further comprising:

instructions for attempting a third communicable coupling to the system under

test;

wherein the attempting a first communicable coupling is made using a first

originating IP address;

wherein the attempting a second communicable coupling is made using a second

originating IP address that is essentially the same as the first originating IP address;

wherein the attempting a third communicable coupling is made using a third

originating IP address that is different from the second originating IP address;

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wherein the combination of success of the attempting a first communicable

coupling, failure of the attempting a second communicable coupling, and success of the

attempting a third communicable coupling is interpreted as a possibility including the detection;

and

wherein the combination of success of the attempting a first communicable

coupling, failure of the attempting a second communicable coupling, and failure of the

attempting a third communicable coupling is interpreted as a possibility including:

a network connectivity problem between the first tester and the system under test;

and

the detection.

87. (Original) The computer program product of claim 84, further comprising:

instructions for attempting a third communicable coupling by a second tester to

the system under test;

wherein the combination of success of said attempting a first communicable

coupling, failure of said attempting a second communicable coupling, and success of said

attempting a third communicable coupling is interpreted as a possibility including the detection;

and

wherein the combination of success of said attempting a first communicable

coupling, failure of said attempting a second communicable coupling, and failure of said

attempting a third communicable coupling is interpreted as a possibility including a network

connectivity problem between the first tester and the system under test.

88. (Currently Amended) A network security testing apparatus comprising:

a tester communicably coupled to a system under test for testing for network

security vulnerabilities of a network system under test;

a test tool within the tester for performing a test to obtain specific network

security vulnerability information for the network system under test, said test tool selectable

responsive to adapt to the security obstacles of the network system under test detected based on

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information gained from a previous received information on network security vulnerability

information;

an application programming interface (API) adapted to interface between said

tester and said test tool, said API further including an API stub enabling said test tool to be

executed by said tester even if the outputs of said tester do not directly correspond to the inputs

of said test tool, and such that said test tool may be executed by said tester even if the inputs of

said tester do not directly correspond to the outputs of said test tool, said API further including a

common API for interfacing between the test tool and instructions provided to the test tool; and

wherein said tester is adapted to test the system under test by execution of said

test tool.

89. (Original) A network security testing method comprising:

adapting an application programming interface (API) to interface between a tester

and a test tool, such that the test tool may be executed by the tester even if the outputs of the

tester do not directly correspond to the inputs of the test tool, and such that the test tool may be

executed by the tester even if the inputs of the tester do not directly correspond to the outputs of

the test tool;

executing the test tool by the tester;

wherein the test tool is targeted at a system under test; and

wherein the tester is communicably coupled to the system under test.

90. (Original) A computer program product for network security testing stored in a

computer-readable medium, comprising:

instructions for adapting an application programming interface (API to interface

between a tester and a test tool, such that the test tool may be executed by the tester even if the

outputs of the tester do not directly correspond to the inputs of the test tool, and such that the test

tool may be executed by the tester even if the inputs of the tester do not directly correspond to

the outputs of the test tool;

instructions for executing the test tool by the tester;

wherein the test tool is targeted at a system under test; and

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(Canceled) 91. (Canceled) 92. 93. (Canceled) (Canceled) 94. 95. (Canceled) 96. (Canceled) 97. (Canceled) 98. (Canceled) 99. (Canceled) (Canceled) 100. 101. (Canceled) (Canceled) 102. 103. (Previously Presented) A network security testing apparatus comprising: a plurality of testers; wherein each of said plurality of testers is adapted to communicably couple to a system under test;

wherein the tester is communicably coupled to the system under test.

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wherein each tester of said plurality of testers has at least one quality of

communicable coupling to the system under test, the at least one quality of communicable

coupling including cost per bit, absolute speed, and geographical proximity of the selected tester

to the system under test;

wherein a test of the system under test is performed by a selected tester of said

plurality of testers, the selected tester being selected from said plurality of testers based at least

partially upon said customer profile; and

wherein the selected tester is selected from said plurality of testers based at least

partially on the selected tester's quality of communicable coupling.

104. (Previously Presented) A network security testing method comprising:

selecting a selected tester from a plurality of testers based at least partially on a

tester's quality of communicable coupling, the quality of communicable coupling including at

least one of cost per bit, absolute speed, and geographical proximity of the selected tester to the

system under test; and

executing a test by the selected tester, wherein the test is targeted at a system

under test, and wherein the selected tester is communicably coupled to the system under test.

105. (Previously Presented) A computer program product for network security testing

stored in a computer readable medium, comprising:

instructions for selecting a selected tester from a plurality of testers based at least

partially on a tester's quality of communicable coupling, the quality of communicable coupling

including at least one of cost per bit, absolute speed, and geographical proximity of the selected

tester to the system under test; and

instructions for executing a test by the selected tester, wherein the test is targeted

at a system under test, wherein the selected tester is communicably coupled to the system under

test.

106. (Canceled)

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- 107. (Canceled)
- 108. (Canceled)
- 109. (Canceled)
- 110. (Canceled)
- 111. (Canceled)

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EVIDENCE APPENDIX

- U.S. Patent No. 6,301,668 to Gleichauf et al. ("Gleichauf I"), found beginning in paragraph 6 of the First Office Action (dated August 24, 2005), and found beginning in paragraph 2 of the Final Office Action (dated January 23, 2006);
- U.S. Patent No. 6,324,656 to Gleichauf et al. ("Gleichauf II"), found beginning in paragraph 6 of the First Office Action (dated August 24, 2005), and found beginning in paragraph 2 of the Final Office Action (dated January 23, 2006);
- Polk, "Automated Tools for Testing Computer Systems Vulnerability", http://www.nsi.org/Library/Compsec/CSECTOOL.txt, found beginning page 2 of the Final Office Action (dated January 23, 2006);

Li et al., "Effective load sharing on heterogeneous networks of workstations", Proceedings of 2000 International Parallel and Distributed processing Symposium, (IPDPS '00), May 2000, pp. 431-438, found beginning on page 12 of the Final Office Action (dated January 23, 2006); and

Srinivasan, "Binding Protocols for ONC RPC Version 2", Network Working Group RFC 1833, August 1995, found beginning on page 9 of the Final Office Action (dated January 23, 2006)

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RELATED PROCEEDINGS APPENDIX

None.

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